2813

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Application No. 09/894,334 TRANSMITTAL FORM June 27, 2001 Filing Date (to be used for all correspondence after initial filing) First Named Inventor Qing Ma Group Art Unit 2823 **Examiner Name** Coileman, William D. Total Number of Pages in This Submission 9 42390P10606 Attorney Docket Number ENCLOSURES (check all that apply)

| Fee Transmittal | Form | Assignment Papers (for an Application) | | After Allowance Communication to Group | | | | | |
|--|---|--|-------------------------|---|--|--|--|--|--|
| Fee Attached | | Drawing(s) | | Appeal Communication to Board of Appeals and Interferences | | | | | |
| Amendment / Response | | Licensing-related Paper | ers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | | |
| After Final Affidavits/declaration(s) | | Petition | | Proprietary Information | | | | | |
| Extension of Time Request | | Petition to Convert a Provisional Application | | Status Letter | | | | | |
| Express Abandonment Request | | Power of Attorney, Rev Change of Correspond | ocation ence Address | Other Enclosure(s) (please identify below): | | | | | |
| Information Disclosure Statement | | Terminal Disclaimer | | Return Receipt Postcard | | | | | |
| PTO/SB/08 | | Request for Refund | | | | | | | |
| Certified Copy of Priority Document(s) | | CD, Number of CD(s) | | ТЕСН | | | | | |
| Response to Missing Parts/ | | | l | | | | | | |
| Incomplete Application | | Remarks | | 6 B 1 | | | | | |
| Basic Filing Fee | | | | APR - 9 | | | | | |
| Declaration/POA | | | | CE1 CE1 | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | APR -9 2001 ECHADLOGY CENTER | | | | | |
| | SIGNATUR | E OF APPLICANT, ATTO | RNEY, OR AGE | ENT 880 | | | | | |
| Fim | William E. Hic | kman, Reg. No. 46,77 | 71 | 0 | | | | | |
| Individual name | or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP | | | | | | | | |
| Signature | Milin | F. Sheets | | | | | | | |
| Date March 31, 2003 | | | | | | | | | |
| CERTIFICATE OF MAILING/TRANSMISSION | | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient | | | | | | | | | |
| postage in an envelope addressed to: Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231 on: March 31, 2003 | | | | | | | | | |

Typed or printed name Nadya Gordon

Signature March 31, 2003

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PTO/SB/17 (01-03)

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| TRANSMITTAL | Complete if Known | | | | | |
|---|----------------------|----------------------|--|--|--|--|
| for EV 2002 | Application Number | 09/894,334 | | | | |
| for FY 2003 | Filing Date | June 27, 2001 | | | | |
| Effective 01/01/2003. Patent fees are subject to annual revision. | First Named Inventor | Qing Ma | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. | Examiner Name | Coileman, William D. | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) | Group/Art Unit | 2823 | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) | Attorney Docket No. | 42390P10606 | | | | |

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APR 0 7 2003

| METHOD OF PAYMENT (check one) | FEE CALCULATION (continued) | | | | | | | |
|--|-----------------------------------|--------------------------|--------------|--------------|--|---|-------------------|----------|
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☒ None | 3. ADDITIONAL FEES | | | | | | | |
| Deposit Account | Larg | e Entity | Sma | ill Entit | ty | | | |
| Deposit | Fee Code | Fee (\$) | Fee Code | Fee (\$) | _ | | | |
| Account Number 02-2666 | 1051 | 130 | İ | 65 | | Fee Descripton | | FeePaid |
| Deposit | 1052 | 50 | 2051 2052 | 25 | Surcharge - late pr | ng tee or oath ovisional filing fee or | | <u> </u> |
| Account Name Blakely, Sokoloff, Taylor & Zafman LLP | | 120 | | 120 | cover sheet. | _ | | |
| The Commissioner is authorized to: (check all that apply) | 2053 1812 | 130 2,520 | 2053 1812 | 130 2,520 | Non-English specifi For filing a request | cation for ex <i>parte</i> reexami | ination | <u> </u> |
| Charge fee(s) indicated below Credit any overpayments | | 920 • | 1804 | 920 | | | | |
| Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. | | 1,840 * | 1005 | 1 040 | | 11 | | |
| Charge fee(s) indicated below, except for the filing fee | 1805 | 1,040 | 1805 | 1,840 | Requesting publica Examiner action | tion of SIR after | | |
| to the above-identified deposit account | 1251 | 110 | 2251 | 55 | Extension for reply | within first month | | |
| FEE CALCULATION | 1252 | 410 | 2252 | 205 | Extension for reply | within second month | ı | |
| 1. BASIC FILING FEE | 1253 | 930 | 2253 | 465 | Extension for reply | within third month | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description FeePaid | 1254 | 1,450 | 2254 | 725 | Extension for reply | | | |
| Code (\$) Code (\$) | 1255 | 1,970 | 2255 | 985 | Extension for reply | within fifth month | | |
| 1001 750 2001 375 Utility filing fee | 1404 1402 | 320 320 | 2401 | 160 160 | Notice of Appeal | and of on annual | | |
| 1002 330 2002 165 Design filing fee 1003 520 2003 260 Plant filing fee | 1403 | 280 | 2402 | 140 | Filing a brief in supp Request for oral hea | | 7. | |
| 1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee | 1451 | 1,510 | 2451 | 1,510 | | ı public use proceedi | ing 2 | |
| 1005 160 2005 80 Provisional filing fee | 1452 | 110 | 2452 | 55 | Petition to revive - u | • | , #HO | |
| SUBTOTAL (1) (\$) | 1453 | 1,300 | 2453 | 650 | Petition to revive - u | nintentional |)LO | 事品 |
| | | 1,300 | 2501 | 650 | Utility issue fee (or r | eissue) | 7 3 | 7 3 |
| 2. EXTRA CLAIM FEES Extra Fee from Claims below FeePart | | 470 | 2502 | 235 | Design issue fee | | TECHNOLOGY CENTER | الله |
| Total Claims below Fee-Pad | 1503 1460 | 630 | 2503 | 315 | Plant issue fee | | Z: ; | 2 3 |
| Independent Claims X = X | 1807 | 130 50 | 2460 1807 | 130 50 | Petitions to the Com Processing fee under | | 75 | |
| Multiple Dependent = | 1806 | 180 | 1806 | 180 | Submission of Infor | | 280 | |
| Large Entity Small Entity | 8021 | 40 | 8021 | 40 | Recording each pate | | 00 | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | | | | | property (times num | | | |
| 1202 18 2202 9 Claims in excess of 20 | 1809 | 750 | 1809 | 375 | Filing a submission a (37 CFR § 1.129(a)) | fter final rejection | | |
| 1201 84 2201 42 Independent claims in excess of 3 | 1810 | 750 | 2810 | 375 | For each additional in | vention to be | | |
| 1203 280 2203 140 Multiple Dependent claim, if not paid | | | | | examined (37 CFR § | 1.129(b)) | | |
| 1204 84 2204 42 **Reissue independent claims over original patent | 1801 | 750 | 2801 | 375 | Request for Continue | • | E) | |
| 1205 18 2205 9 **Reissue claims in excess of 20 and over | 1802 Other for | 900 secify) | 1802 | 900 | Request for expedited of a design application | | | |
| original patent | Outer ree | (specify) | | | | | | |
| SUBTOTAL (2) (\$) | | h. D m | | | | | | L |
| **or number previously paid, if greater, For Reissues, see below | * Reduced by Basic Filing Fee Pai | | | aid | | SUBTOTAL (3) | (\$) | |
| SUBMITTED BY Complete (if applicable) | | | | | | | able) | |
| Name (Print/Type) William E. Hickman | | gistratioi orney/Agen | | 4 | 6,771 | Telephone | (310) 20 | |
| Signature Jalellin G. Au | | | | - | | Date | 03/3 | 1/03 |

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.